

Tony Kershaw
Director of Law and Assurance

If calling please ask for:

Adam Chisnall on 033 022 28314
Email: adam.chisnall@westsussex.gov.uk

www.westsussex.gov.uk

County Hall
Chichester
West Sussex
PO19 1RQ
Switchboard
Tel no (01243) 777100



12 November 2020

Regulation, Audit and Accounts Committee

A virtual meeting of the Committee will be held at **10.30 am** on **Friday, 20 November 2020**.

Note: In accordance with regulations in response to the current public health emergency, this meeting will be held virtually with members in remote attendance. Public access is via webcasting.

The meeting will be available to watch live via the Internet at this address:

<http://www.westsussex.public-i.tv/core/portal/home>

Tony Kershaw
Director of Law and Assurance

Agenda

1. Declarations of Interest

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. Minutes of the last meeting of the Committee (Pages 5 - 10)

The Committee is asked to agree the minutes of the meeting held on 25 September 2020 (attached, cream paper).

3. Urgent Matters

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. External Audit (Pages 11 - 110)

The Committee is asked to consider the Audit Results Reports for the West Sussex County Council and the West Sussex Pension Fund from the External Auditor EY.

(a) **West Sussex County Council Audit Results Report** (Pages 11 - 68)

(b) **West Sussex Pension Fund Audit Results Report** (Pages 69 - 110)

5. **Financial Statements 2019/20** (Pages 111 - 286)

Report by the Director of Finance and Support Services.

The Committee is asked to approve the Statement of Accounts for 2019/20 for West Sussex County Council and the West Sussex Pension Fund, for signing by the Chairman of the Committee.

6. **Annual Governance Statement 2019/20** (Pages 287 - 330)

Report by the Director of Law and Assurance.

The Committee is asked to recommend the draft Statement and Action Plan for adoption through the signatures of the Leader of the Council and the Chief Executive.

7. **Treasury Management Compliance Report -Second Quarter 2020/21**
(Pages 331 - 338)

Report by the Director of Finance and Support Services.

The Committee is asked to review and comment on the Treasury Management Compliance Report.

8. **Internal Audit Progress Report** (Pages 339 - 360)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress Report (October 2020).

9. **External Quality Assessment of Southern Internal Audit Partnership**
(Pages 361 - 378)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the External Quality Assessment Report.

10. **Quarterly Review of the Corporate Risk Register** (Pages 379 - 390)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report, the current Corporate Risk Register and provide comment as necessary

11. **Date of Next Meeting**

The next meeting of the Committee will be held on 18 January 2021.

To all members of the Regulation, Audit and Accounts Committee